

# **Week 6 — Sheet 5**

## **Algorithms and Data Structures**

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# Debriefing of Submissions

$$\sum_{i=1}^{\log_2(n)} \log_2(n) - i + 1$$

Notice that if  $i = 1$  then  $\log_2(n) - i + 1 = \log_2(n)$ , and if  $i = \log_2(n)$  then  $\log_2(n) - i + 1 = 1$ .

$$\sum_{i=1}^{\log_2(n)} \log_2(n) - i + 1$$

Notice that if  $i = 1$  then  $\log_2(n) - i + 1 = \log_2(n)$ , and if  $i = \log_2(n)$  then  $\log_2(n) - i + 1 = 1$ .

We sum from 1 to  $\log_2(n)$ , using Gauss' sum formula we get:

$$\sum_{i=1}^{\log_2(n)} \log_2(n) - i + 1 = \frac{\log_2(n)(\log_2(n) + 1)}{2}$$

- (b) Describe an algorithm that determines the *smallest* integer  $T \in \mathbb{N}$  such that  $f(T) \geq N$ , making  $O(\log T)$  function calls to  $f$ . Prove that your algorithm is correct, and uses at most the desired number of function calls.

**Hint:** Consider using a two-step approach. In the first step, apply the algorithm of part (a). For the second step, modify the binary search algorithm and apply it to the array  $\{1, 2, \dots, T_{\text{ub}}\}$ . Use helper variables  $i_{\text{low}}, i_{\text{high}} \in \mathbb{N}$ , that satisfy  $i_{\text{low}} \leq T \leq i_{\text{high}}$  at all times during the algorithm. In each iteration, update  $i_{\text{low}}$  and/or  $i_{\text{high}}$  so that the number of remaining options for  $T$  is halved.

Solutions didn't really use the array they described in the hint. Careful because of array initialisation.

# Exercise Sheet 5

# Debriefing of Exercise Sheet 5

# Theory Recap

# Dynamic Programming (DP)

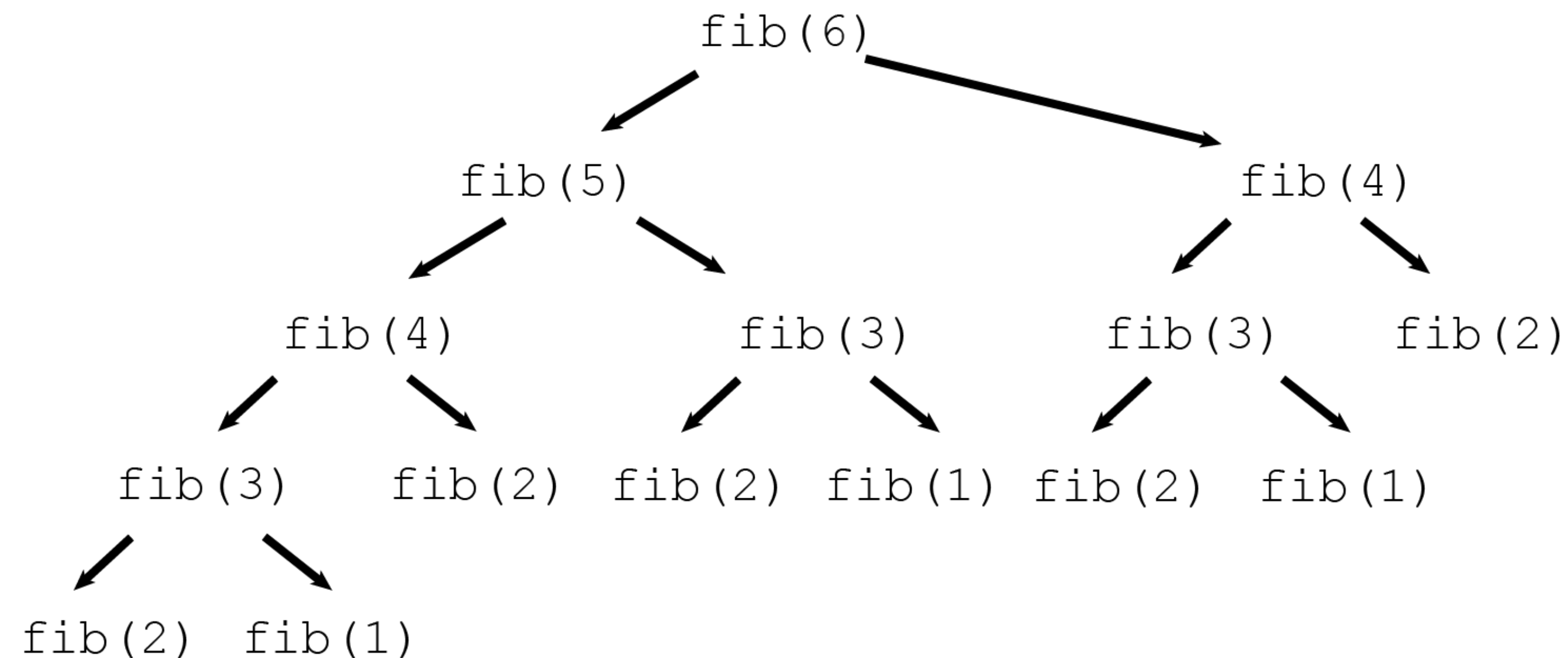
**What is DP and why is it useful?**

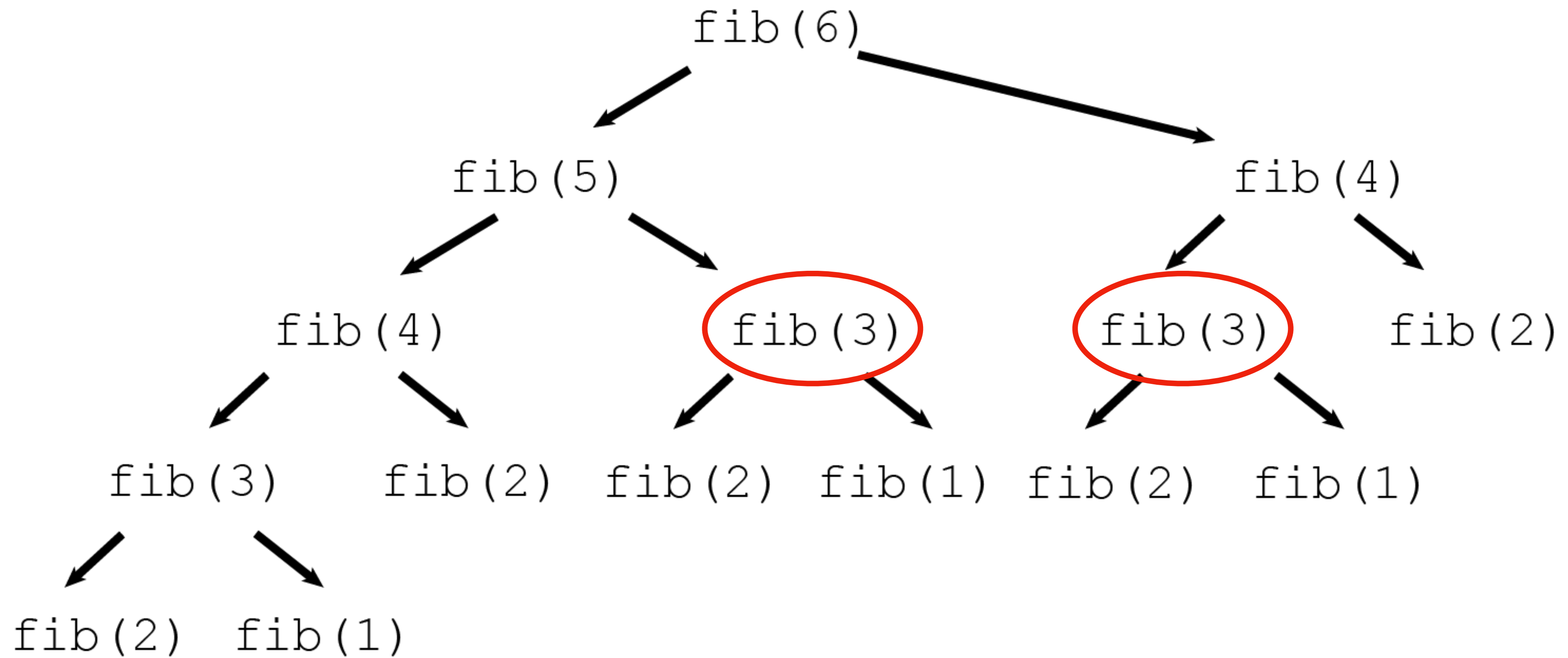
**In Dynamic Programming, we try to simplify a complex problem by breaking it down to simpler sub-problems in a recursive manner.**

# Why is it useful?

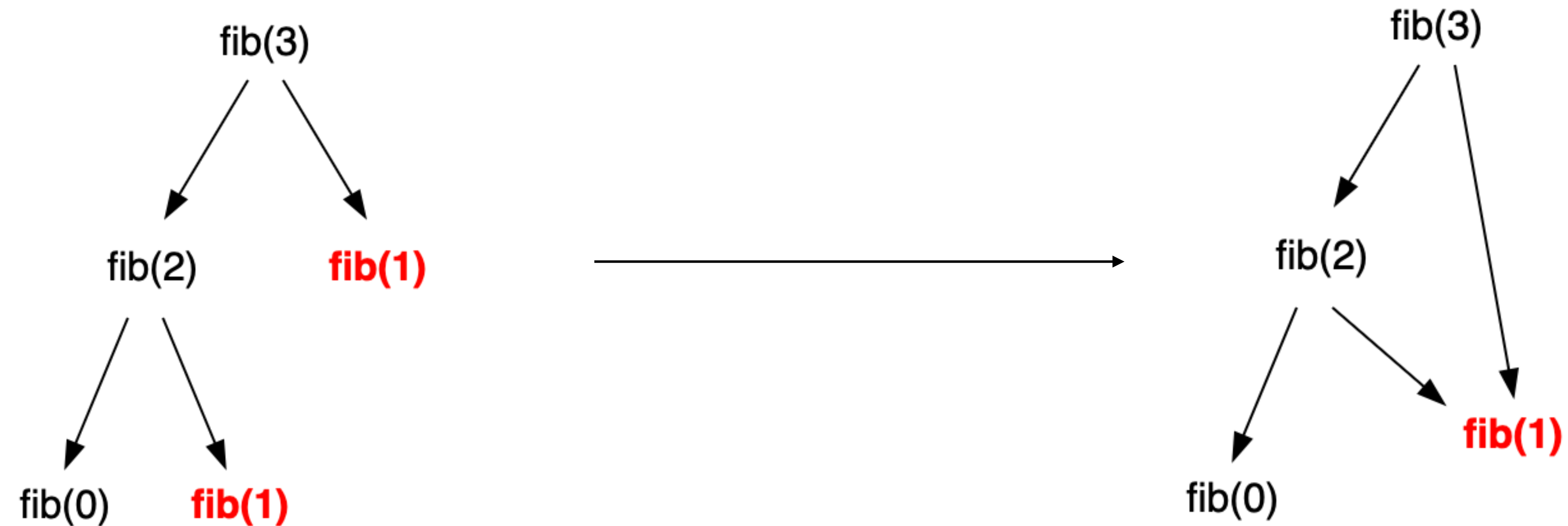
## Example

Consider the Fibonacci Example from the lecture.





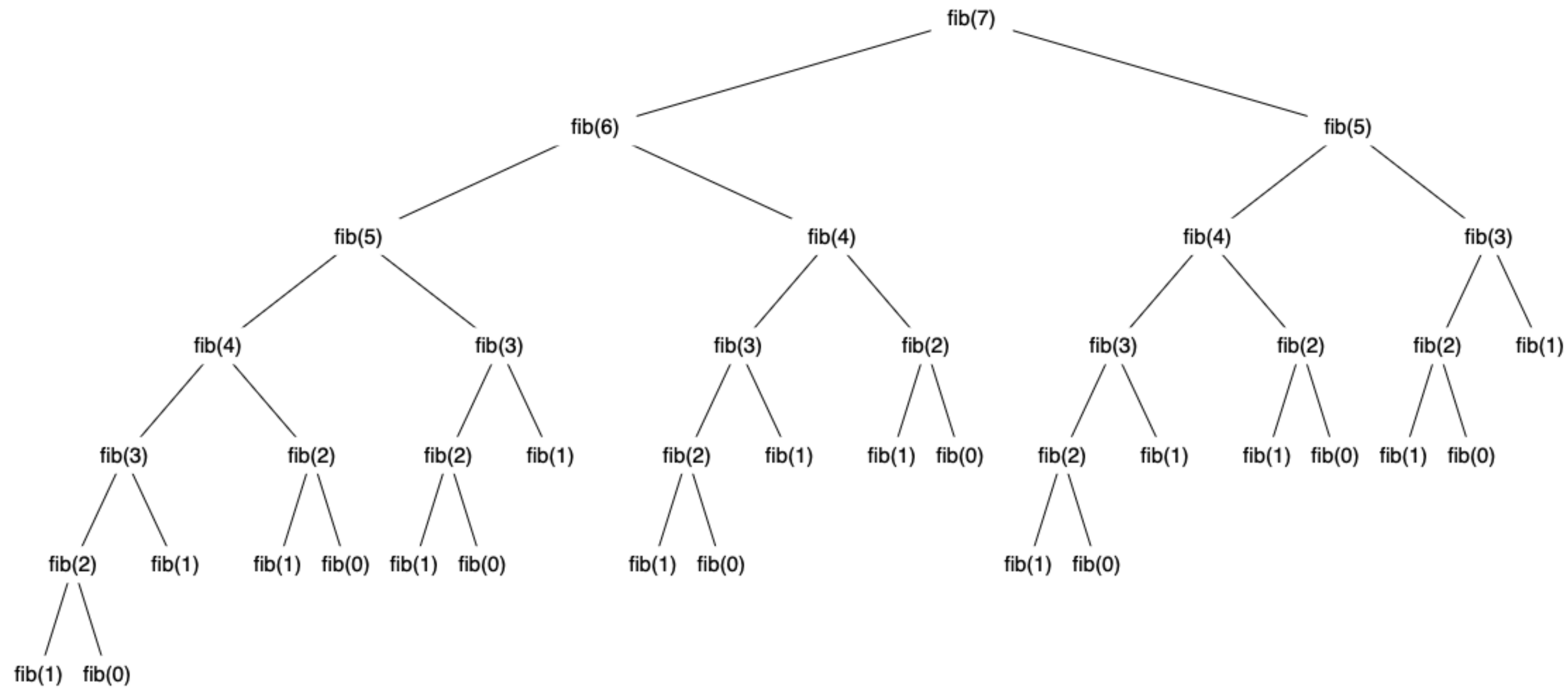
# Using DP we can make improvements



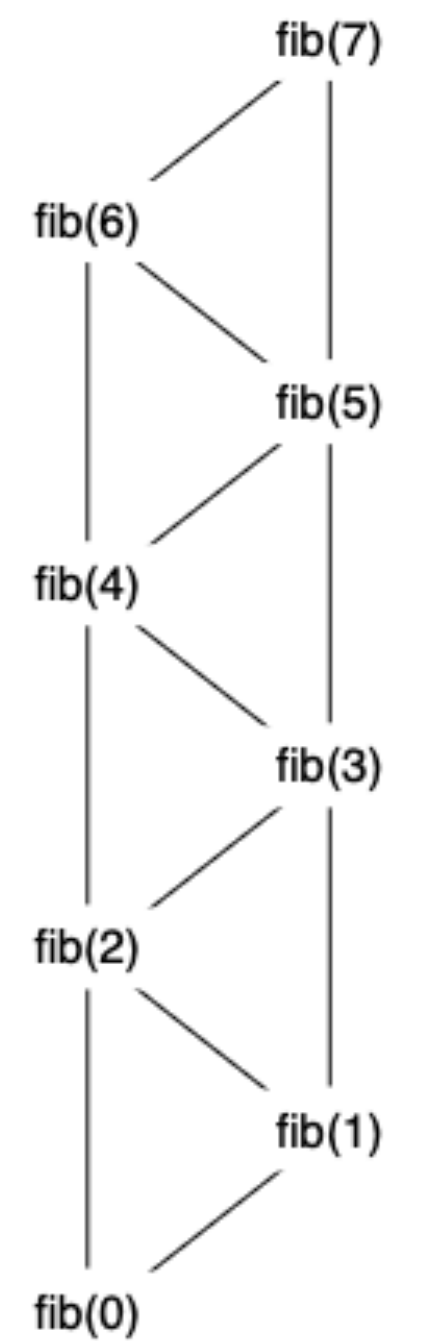
<https://programming.guide/dynamic-programming-vs-memoization-vs-tabulation.html>

**Doesn't seem very useful?**

$$O(2^n)$$



$$O(n)$$



<https://programming.guide/dynamic-programming-vs-memoization-vs-tabulation.html>

# Top-Down vs Bottom-Up

```
fib_mem(n) {  
    if (mem[n] is not set)  
        if (n < 2) result = n  
        else result = fib_mem(n-2) + fib_mem(n-1)  
        mem[n] = result  
    return mem[n]  
}
```

Top-Down  
(Memoization)

```
fib_tab(n) {  
    mem[0] = 0  
    mem[1] = 1  
    for i = 2...n  
        mem[i] = mem[i-2] + mem[i-1]  
    return mem[n]  
}
```

Bottom-Up  
(Tabulation)

**DP is not easy.**

**But it can be mastered through  
practice!**

# How to solve DP problems?

1. Understand the problem
2. Find/Design recurrence relation (i.e. how the smaller sub-problems relate to each other)
3. Translate it to code (CodeExpert)

**Hardest part?**

# Finding the recurrence relation

- Go through examples
- Construct your own examples
- Once you have an idea, try to simulate it

# Minimal Editing Distance

# Step 1: Understand the Problem

# Minimal Editing Distance

(Edit Distance, Levenshtein Distance)

Given two strings  $A[1 \dots n]$  and  $B[1 \dots m]$ , what is the minimum number of single-character edits (insertion, deletion, substitution) required to turn one word into the other word?

# Step 2: Design Recurrence Relation

$$A[1..n] =$$




$$B[1..m] =$$


$$A[1..n-1] + A[n] =$$

$$+$$

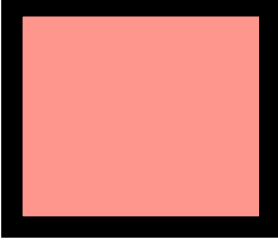


$$B[1..m-1] + B[m] =$$

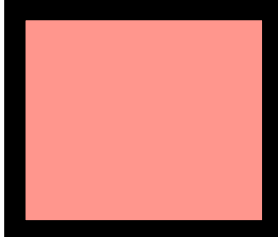
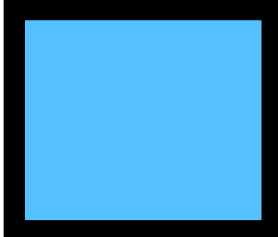
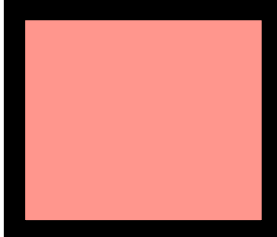
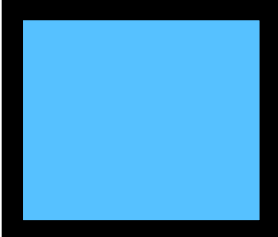
$$+$$


What if   $=$   ?

If  = , then for the minimal edit distance (MED) we know:

$$\text{MED}(A[1..n], B[1..m]) = \text{MED}(A[1..n-1], B[1..m-1]) .$$

If   $\neq$  , then what do we know?

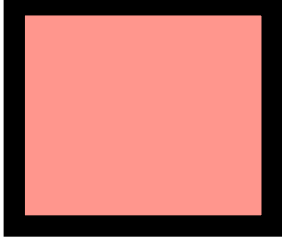
If we replace (**substitution**)  with  we again have   $=$  

With the difference being that we made one edit operation, thus we have

$$\text{MED}(A[1..n], B[1..m]) = 1 + \text{MED}(A[1..n-1], B[1..m-1]) .$$

In some cases though, it can be beneficial to either delete or insert characters depending the length of  $A$  and  $B$ .

For example, if we have  $A = ABCDX$  and  $B = ABCD$  then we simply delete  $X$  from  $A$  or insert  $X$  into  $B$ .

If we delete  from  $A$ , we reduce the length of  $A$  by one and only consider  $A[1 \dots n - 1]$  for further inspection. However  $B$  doesn't change at all, and therefore we still have to consider  $B[1 \dots m]$ . Thus we get:

$$\text{MED}(A[1 \dots n], B[1 \dots m]) = 1 + \text{MED}(A[1 \dots n - 1], B[1 \dots m]) .$$

If we insert  at the end of  $A$ , we get:



Since the last characters now match, we only consider  $B[1 \dots m - 1]$  for further inspection. Because we inserted a single character into  $A$ , we again consider  $A[1 \dots n]$  (because the initial string, i.e. the long white bar and the small red bar, remains the same). Thus we get:

$$\text{MED}(A[1 \dots n], B[1 \dots m]) = 1 + \text{MED}(A[1 \dots n], B[1 \dots m - 1]).$$

So far we have only considered some modifications at the end of the strings. Since we can delete/insert characters at any position of the string, we need to generalise our observations.

Let  $i \in [1 \dots n]$  and  $j \in [1 \dots m]$ , then for the minimal editing distance of  $A[1 \dots i]$  and  $B[1 \dots j]$  we write  $\text{MED}(i, j)$ . It is true that:

$$\text{MED}(i, j) = \min \left\{ \begin{array}{ll} 1 + \text{MED}(i - 1, j) & \text{deletion} \\ 1 + \text{MED}(i, j - 1) & \text{insertion} \\ \delta + \text{MED}(i - 1, j - 1) & \text{substitution} \end{array} \right\}$$

where  $\delta = 1$  if  $A[i] \neq B[j]$  and zero otherwise.

# Base Cases

Now that we have established the recurrence relation, it remains to consider the base cases. Often times, these are just very simple cases, that don't require a lot of thinking, but they allow us to generate a solution.

**Interactive Example:**

$A = \text{"TIGER"}$  and  $B = \text{"ZIEGE"}$

MED(i,j)	-	T	I	G	E	R
-						
Z						
I						
E						
G						
E						

$$MED(i,j) = \min \left\{ \begin{array}{ll} 1 + MED(i-1,j) & \text{deletion} \\ 1 + MED(i,j-1) & \text{insertion} \\ \delta + MED(i-1,j-1) & \text{substitution} \end{array} \right\}$$

where  $\delta = 1$  if  $A[i] \neq B[j]$  and zero otherwise.

<b>MED(i,j)</b>	-	<b>T</b>	<b>I</b>	<b>G</b>	<b>E</b>	<b>R</b>
<b>-</b>	0	1	2	3	4	5
<b>Z</b>	1					
<b>I</b>	2					
<b>E</b>	3					
<b>G</b>	4					
<b>E</b>	5					

$$\text{MED}(i,j) = \min \left\{ \begin{array}{ll} 1 + \text{MED}(i-1,j) & \text{deletion} \\ 1 + \text{MED}(i,j-1) & \text{insertion} \\ \delta + \text{MED}(i-1,j-1) & \text{substitution} \end{array} \right\}$$

where  $\delta = 1$  if  $A[i] \neq B[j]$  and zero otherwise.

<b>MED(i,j)</b>	-	<b>T</b>	<b>I</b>	<b>G</b>	<b>E</b>	<b>R</b>
<b>-</b>	0	1	2	3	4	5
<b>Z</b>	1	1	2	3	4	5
<b>I</b>	2					
<b>E</b>	3					
<b>G</b>	4					
<b>E</b>	5					

$$\text{MED}(i,j) = \min \left\{ \begin{array}{ll} 1 + \text{MED}(i-1,j) & \text{deletion} \\ 1 + \text{MED}(i,j-1) & \text{insertion} \\ \delta + \text{MED}(i-1,j-1) & \text{substitution} \end{array} \right\}$$

where  $\delta = 1$  if  $A[i] \neq B[j]$  and zero otherwise.

<b>MED(i,j)</b>	-	<b>T</b>	<b>I</b>	<b>G</b>	<b>E</b>	<b>R</b>
<b>-</b>	0	1	2	3	4	5
<b>Z</b>	1	1	2	3	4	5
<b>I</b>	2	2	1	2	3	4
<b>E</b>	3	3	2	2	2	3
<b>G</b>	4	4	3	2	3	3
<b>E</b>	5	5	4	3	2	3

$$\text{MED}(i,j) = \min \left\{ \begin{array}{ll} 1 + \text{MED}(i-1,j) & \text{deletion} \\ 1 + \text{MED}(i,j-1) & \text{insertion} \\ \delta + \text{MED}(i-1,j-1) & \text{substitution} \end{array} \right\}$$

where  $\delta = 1$  if  $A[i] \neq B[j]$  and zero otherwise.

# Runtime? Space Complexity?

- the table is  $m$  by  $n$ , thus the space complexity is in  $O(nm)$
- each cell can be computed in  $O(1)$ , we have  $m \cdot n$  cells, thus the runtime is in  $O(mn)$