

**Exercise 11.2** Shortest paths with cheating (1 point).

Let  $G = (V, E)$  be a weighted, directed graph with weights  $c : E \rightarrow \mathbb{R}_{\geq 0}$ . We consider a variation of the shortest path problem in  $G$ , where we are allowed to 'cheat' by setting a certain number of weights to 0. Formally, for  $k \in \mathbb{N}$ , we write  $C_k$  for the set of all weight functions  $\gamma : E \rightarrow \mathbb{R}_{\geq 0}$  on  $G$  with  $\gamma(e) \neq c(e)$  for at most  $k$  edges  $e \in E$ .<sup>2</sup>

Given  $s, t \in V$ , we wish to find a path  $P = (v_1 = s, v_2, \dots, v_\ell = t)$  in  $G$  which minimizes:

$$c_k(P) := \min_{\gamma \in C_k} \gamma(P), \text{ where } \gamma(P) := \sum_{i=1}^{\ell-1} \gamma((v_i, v_{i+1})).$$

We call such a path a 'shortest path from  $s$  to  $t$  with  $k$  cheats.'

Recall that a naive implementation of Dijkstra's algorithm finds the length of a shortest path in a weighted graph (without cheating) in time  $O(|V|^2)$ .

$G = (V, E)$ , directed/weighted. weight function  $c : E \rightarrow \mathbb{R}_{\geq 0}$ .

$C_k$  is set of all weight functions  $\gamma : E \rightarrow \mathbb{R}_{\geq 0}$

on  $G$  with  $\gamma(e) \neq c(e)$  for at most  $k$

edges.

Given:  $s, t \in V$

We want: path  $P = (s = v_1, \dots, v_\ell = t)$  which

minimizes  $c_k(P) := \min_{\gamma \in C_k} \gamma(P)$

where  $\gamma(P)$  is sum of all edge weights in  $P$ .

(a) Describe an algorithm which finds the length of a shortest path from  $s$  to  $t$  with  $k$  cheats in time  $O(|E|^k \cdot |V|^2)$ . Prove that your algorithm is correct, and achieves the desired runtime.

We try all possible ways of setting  $k$  edges to 0. There are  $\binom{|E|}{k}$  ways of picking  $k$  edges from  $|E|$ . Thus we run Dijkstra  $\binom{|E|}{k}$  times, yielding

$$\binom{|E|}{k} |V|^2 \leq O(|E|^k |V|^2)$$

- $k$  combinations of  $|E|$  edges :  $\binom{|E|}{k}$
- permutation with repetition :  $|E|^k$

(b) Describe an algorithm which finds the length of a shortest path from  $s$  to  $t$  with  $k$  cheats in time  $O((k|V|)^2)$ . Prove that your algorithm is correct, and achieves the desired runtime.

**Hint:** Construct a new graph  $G' = (V', E')$  whose vertex set  $V'$  consists of  $k+1$  copies of  $V$ . Choose the edges  $E'$  and weights  $c'$  in a clever way, and apply Dijkstra's algorithm to  $G'$ .

We set  $V' = \{v^{(\ell)} : v \in V, \ell \in \{0, 1, 2, \dots, k\}\}$ , which has size  $|V'| = (k+1) \cdot |V|$ . We define  $E'$  and  $c' : E' \rightarrow \mathbb{R}_{\geq 0}$  by:

$$\forall (v, w) \in E, 0 \leq \ell \leq k : (v^{(\ell)}, w^{(\ell)}) \in E' \text{ with } c'((v^{(\ell)}, w^{(\ell)})) = c((v, w)), \quad (\text{T1})$$

$$\forall (v, w) \in E, 0 \leq \ell \leq k-1 : (v^{(\ell)}, w^{(\ell+1)}) \in E' \text{ with } c'((v^{(\ell)}, w^{(\ell+1)})) = 0, \quad (\text{T2})$$

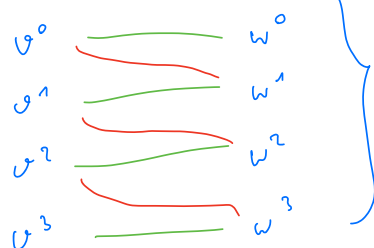
$$\forall 0 \leq \ell \leq k-1 : (t^{(\ell)}, t^{(\ell+1)}) \in E' \text{ with } c'((t^{(\ell)}, t^{(\ell+1)})) = 0. \quad (\text{T3})$$

(T1) normal edge traversal in  $G$

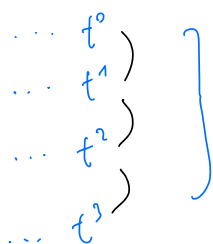
(T2) use one cheat

(T3) connects all  $t^{(\ell)}$  with each other

Suppose  $k=3$



When we cheat we go one layer down. The graph construction ensures max.  $k$  layers down (i.e. max.  $k$  cheats)



we can always end up at  $t^{(k)}$

We run Dijkstra's algorithm on  $(G', c')$  to find the length of a shortest path between  $s^{(0)}$  and  $t^{(k)}$  in time  $O(|V'|^2) \leq O((k|V|)^2)$ .

Correctness:

Let  $L$  be the length of a shortest path from  $s$  to  $t$  in  $(G, c)$  with  $k$  cheats.

Let  $L'$  be ...  $s^{(0)}$  to  $t^{(k)}$  ...

We want to show  $L = L'$ .

(i)  $L' \geq L$

because then  $L' = \infty$



We show first that  $L' \geq L$ . If there is no path from  $s^{(0)}$  to  $t^{(k)}$  in  $(G')$ , then surely  $L' \geq L$ , so we may assume there is a shortest path  $P' = (v_1^{(a_1)}, \dots, v_\ell^{(a_\ell)})$  from  $s^{(0)}$  to  $t^{(k)}$  in  $(G', c')$ . By definition of  $E'$ , we know that  $P = (v_1, v_2, \dots, v_\ell)$  is a path in  $G$  from  $s$  to  $t$  (after possibly removing some subsequent copies of  $t$  from the path, corresponding to edges of type (T3), which have weight 0). Since there are at most  $k$  edges of type (T2) in  $P'$ , we conclude that there is a weight function  $\gamma \in C_k$  such that  $\gamma(P) = c'(P')$ . But that means  $L \leq \underbrace{c_k(P)}_{\text{since } c_k(P) \text{ is minimal.}} \leq L'$ .

since  $c_k(P)$  is minimal.

(ii)  $L \geq L'$

Next we show  $L \geq L'$ . Let  $P = (v_1, v_2, \dots, v_\ell)$  be a shortest path from  $s$  to  $t$  in  $G$  with  $k$  cheats. Again, we may assume such a path exists. Let  $\gamma \in C_k$  be the weight function for which  $\gamma(P) = c_k(P) = L$ . Now, consider the path  $P' = (v_1^{(a_1)}, \dots, v_\ell^{(a_\ell)})$  in  $G'$ , where  $a_1, \dots, a_\ell$  are defined by  $a_1 = 0$ , and, for  $1 \leq i \leq \ell$ ,

$$a_i = \begin{cases} a_{i-1} + 1 & \text{if } c((v_{i-1}, v_i)) \neq \gamma((v_{i-1}, v_i)), \\ a_{i-1} & \text{if } c((v_{i-1}, v_i)) = \gamma((v_{i-1}, v_i)). \end{cases}$$

This path is well-defined because  $c((v_{i-1}, v_i)) \neq \gamma((v_{i-1}, v_i))$  for at most  $k$  different  $i$ . By definition of  $c'$ , the length of  $P'$  in  $(G', c')$  is at most  $L$ . We know that  $v_1^{(a_1)} = s^{(0)}$ , and  $v_\ell^{(a_\ell)} = t^{(k)}$ , for some  $0 \leq i \leq k$ . We can thus extend  $P'$  to be a path  $P''$  from  $s^{(0)}$  to  $t^{(k)}$  using edges of type (T3) (whose cost is zero). We conclude that  $L' \leq c'(P'') \leq \gamma(P) \leq L$ .

(I) (II) (III)

(I) since  $L'$  is the shortest path in  $G'$

(II) since  $\gamma$  has the same edge weights as  $c$  except for  $k$  edges. if we encounter a different edge weight  $(a_{i-1} + 1 \text{ if } c((v_{i-1}, v_i)) \neq \gamma((v_{i-1}, v_i)), )$  we change layers, i.e. we use a (T2) edge, which has weight zero.  $\gamma$  can't be lower than zero.

(III)  $\gamma(P) = L$ .

### Exercise 11.4 Driving from Zurich to Geneva (1 point).

(slides)

- (a) Model the problem as a graph problem such that you can directly apply one of the algorithms in the lecture, without modifications to the algorithm:

- (1) Describe your graph. What are the vertices, what are the edges and the weights of the edges?

The graph  $G = (V, E, w)$  is defined as follows:  $V$  is the set of cities on his map of Europe. There are directed edges between the cities (in both directions) if they are connected by a highway. The weight of any edge is the difference of the cost he needs to pay for the fuel for this highway and the money that a passenger would pay him (if available, otherwise it is just the cost he needs pay).

$V :=$  cities in Europe (on his map)  
and  $(u, v)$

$E :=$  contains  $e = (v, w)$  if  $v$  is connected  
to  $w$  via highway. (directed)

$c: E \rightarrow \mathbb{Z}$

$c(e = (v, w)) = (\text{fuel cost}) - \underbrace{(\text{passenger pay})}_{\text{if available from } v \text{ to } w.}$

- (2) What is the graph problem that we are trying to solve?

#### Solution:

We are trying to find the shortest path from the vertex  $v_{\text{Zurich}}$  corresponding to Zurich and the vertex  $v_{\text{Geneva}}$  corresponding to Geneva in the graph  $G$ . Note that since from no city he has a roundtrip that gains him money, the graph  $G$  does not have negative cycles, so we are indeed looking for a path and not a walk.

single-source shortest path.

source:  $v_{\text{Zurich}}$ , destination:  $v_{\text{Geneva}}$

- (3) Solve the problem using an algorithm discussed in the lecture (without modification).

**Solution:**

We can apply the Bellman-Ford algorithm to  $(G, v_{\text{Zurich}})$  to find the shortest path from  $v_{\text{Zurich}}$  to  $v_{\text{Geneva}}$  (we have no negative cycles, so Bellman-Ford works). Note that the edge weights might be negative, so we cannot apply Dijkstra's algorithm.

(slides)

- (b) Now we change the problem slightly. Bob got a list from his friend of certain highways that are in a bad condition. To not damage his car, he decided that he want to use at most one of these highways. Again, model the problem as a graph problem such that you can directly apply one of the algorithms in the lecture, without modifications to the algorithm:

- (1) Describe your graph. What are the vertices, what are the edges and the weights of the edges?

We define the graph  $G' = (V', E', w')$  as follows: The set of vertices is  $V' = V \times \{0, 1\}$ . For every edge  $e = (u, v) \in E$ , if it is a normal highway, we have the edges  $((u, 0), (v, 0))$  and  $((u, 1), (v, 1))$  in  $E'$ . If it is a highway in bad condition, then we have the edge  $((u, 0), (v, 1))$  in  $E'$ . The weight function  $w'$  is as before: The weight of any edge is the difference of the cost he needs to pay for the fuel for this highway and the money that a passenger would pay him (if available, otherwise it is just the cost he needs pay).

↳ from (a).  $G' = (V', E', w')$

•  $V' = V \times \{0, 1\}$  ← again, layers idea!

•  $E'$  contains  $(u^0, v^0)$  and  $(u^1, v^1)$  if  $e = (u, v)$  is normal, and  $(u^0, v^1)$  if  $e$  is bad.

•  $w'$  stays the same

This construction can be interpreted as follows: We have two levels in the graph  $G'$ , namely  $V \times \{0\}$  and  $V \times \{1\}$  and they are connected in such a way that it matches the problem description. Highways in bad condition connect layer 0 to layer 1 (and only in this direction). All other highways are present in both layer 0 and layer 1 (and do not cross between layers). Consider a path in this modified graph  $G'$ . Since we have no edges from layer 1 to layer 0 and whenever a highway in bad condition is used, the path moves from layer 0 to layer 1, this path can use at most one highway in bad condition.

(2) What is the graph problem that we are trying to solve?

**Solution:**

We are trying to find the shortest path between  $(v_{\text{Zurich}}, 0)$  and  $(v_{\text{Geneva}}, 0)$  or  $(v_{\text{Geneva}}, 1)$  (whichever is shorter). Again, since the graph has no negative cycles, we are indeed looking for a path and not just a walk. Since we argued above that a path in  $G'$  uses at most one highway in bad condition, this is indeed what we are looking for (paths between  $(v_{\text{Zurich}}, 0)$  and  $(v_{\text{Geneva}}, 0)$  use no highway in bad condition and paths between  $(v_{\text{Zurich}}, 0)$  and  $(v_{\text{Geneva}}, 1)$  use exactly one).



*Remark: If we wanted to model the problem as finding a single shortest path, we could add a vertex  $t$  to the graph and add the two edges  $((v_{\text{Geneva}}, 0), t)$  and  $((v_{\text{Geneva}}, 1), t)$ . The weight of these two edges can be set to 0. Then the goal would be to find a shortest path between  $(v_{\text{Zurich}}, 0)$  and  $t$ . The shortest path from Zurich to Geneva would then be this shortest path without the last edge  $((v_{\text{Geneva}}, i), t)$ .*



- (3) Solve the problem using an algorithm discussed in the lecture (without modification).

**Solution:**

We can again apply the Bellman-Ford algorithm to the modified graph  $(G', (v_{\text{Zurich}}, 0))$  to find the shortest paths from  $(v_{\text{Zurich}}, 0)$  to  $(v_{\text{Geneva}}, 0)$  and  $(v_{\text{Geneva}}, 1)$  (we again have no negative cycles, so Bellman-Ford works).