

Week 10 — Sheet 9

Algorithms and Data Structures

27.11.2023 — Georg Hasebe

Debriefing of Submissions

Graph Theory

- Be more formal and rigorous!
- Graphs are mathematical objects like e.g. functions and so if tasked to prove something for graphs fulfilling certain properties it doesn't suffice to think of a few small examples. Instead we have to be more general!
- ν_{cut} ? Two or more ZHK!
- Ex. 8.1.: Pigeonhole? What is it? Where did you use it?

Feedback

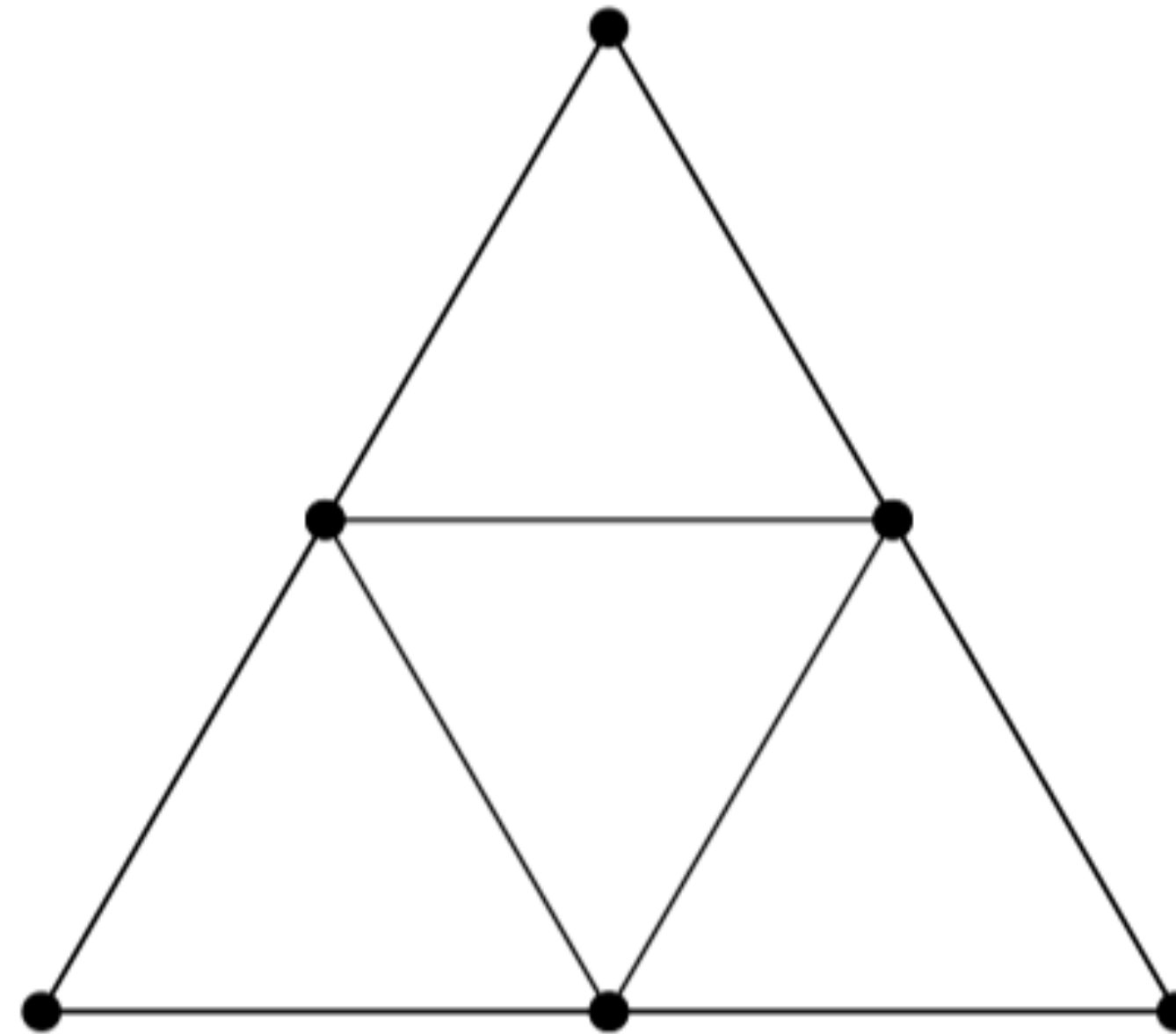
- Not happy with your feedback? Come and talk to me.
- Sometimes more comments, sometimes none.

Proof by induction?

- (e) Suppose in a graph G every pair of vertices v, w has a common neighbour (i.e., for all distinct vertices v, w , there is a vertex x such that $\{v, x\}$ and $\{w, x\}$ are both edges). Then there exists a vertex p in G which is a neighbour of every other vertex in G (i.e., p has degree $n - 1$).

~~Proof by induction?~~ Counterexample

- (e) Suppose in a graph G every pair of vertices v, w has a common neighbour (i.e., for all distinct vertices v, w , there is a vertex x such that $\{v, x\}$ and $\{w, x\}$ are both edges). Then there exists a vertex p in G which is a neighbour of every other vertex in G (i.e., p has degree $n - 1$).



Exercise Sheet 9

Debriefing of Exercise Sheet 9

Theory Recap

Dijkstra's algorithm

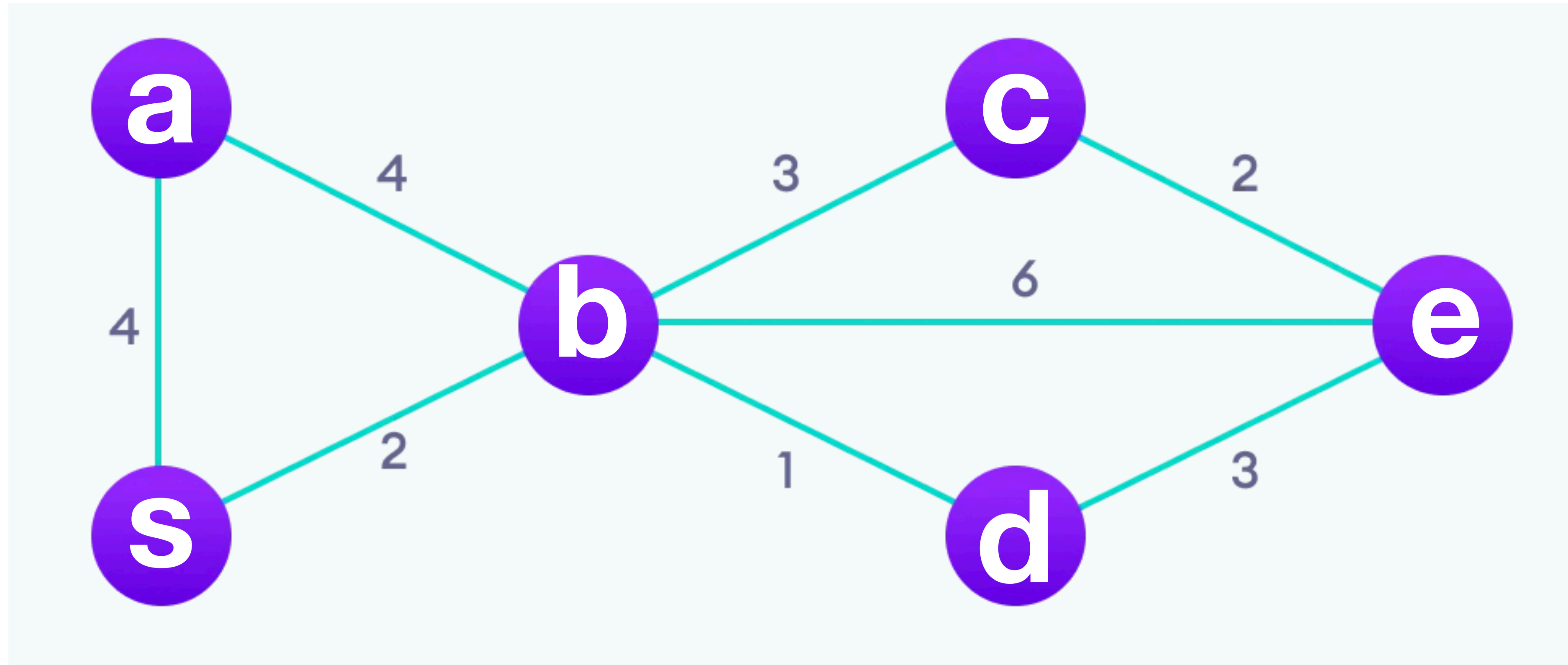
Dijkstra's algorithm

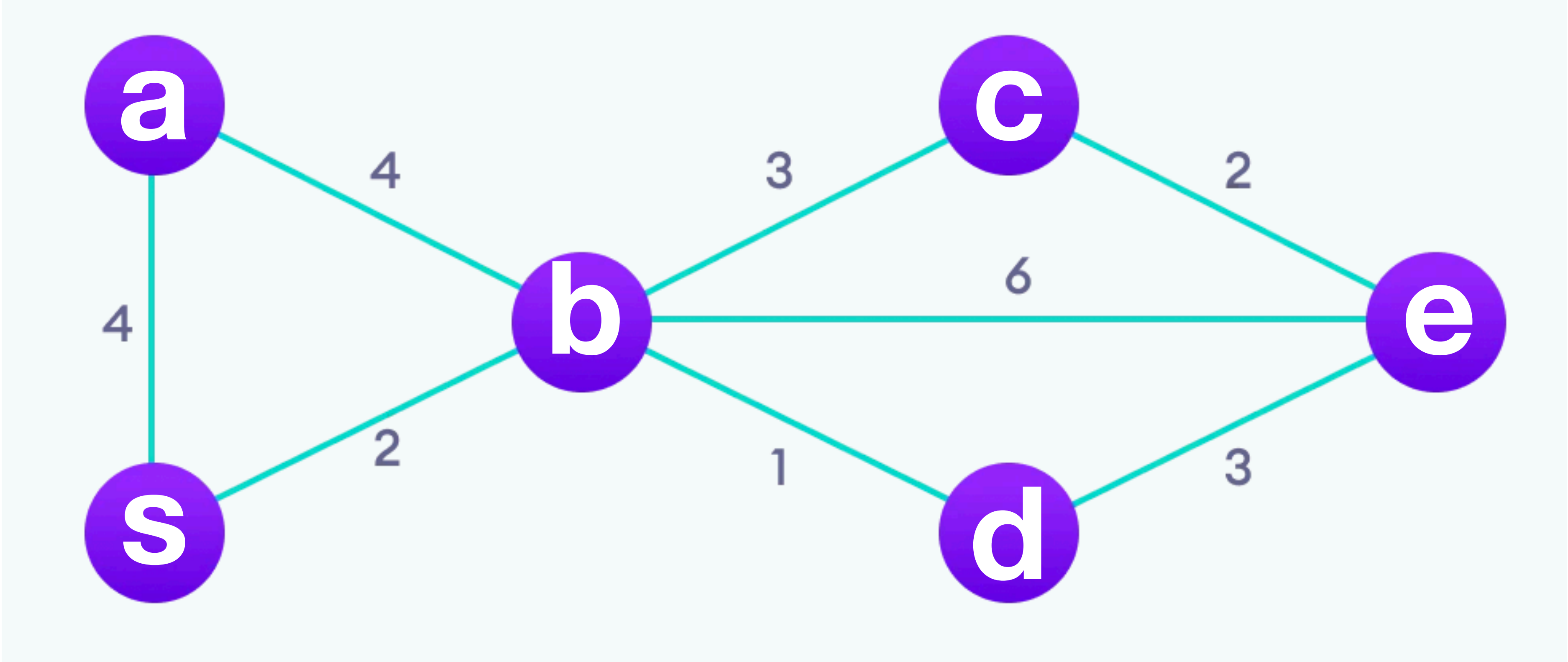
- **We have:** a weighted Graph $G = (V, E)$ with nonnegative weights and a starting vertex $s \in V$.
- **We want:** shortest paths in G starting from s .

Dijkstra's algorithm

- You can think of Dijkstra's algorithm as generalizing breadth-first search to weighted graphs.
- A wave emanates from the source, and the first time that a wave arrives at a vertex, a new wave emanates from that vertex.
- Whereas breadth-first search operates as if each wave takes unit time to traverse an edge, in a weighted graph, the time for a wave to traverse an edge is given by the edge's weight.
- Because a shortest path in a weighted graph might not have the fewest edges, a simple, first-in, first-out queue won't suffice for choosing the next vertex from which to send out a wave.

Example





To	a	b	c	d	e
Shortest Path from s	4	2	5	3	6

Confusion

Many different variants

Dijkstra (s):

$d[s] \leftarrow 0$, $d[v] \leftarrow \infty$ für $v \in V \setminus \{s\}$

$S \leftarrow \emptyset$ [$H \leftarrow \text{make-heap}(V)$, $\text{decrease-key}(H, s, 0)$]

WHILE $S \neq V$:

wähle $v^* \in V \setminus S$ mit $d[v^*]$ minimal

[$v^* \leftarrow \text{extract-min}(H)$]

$S \leftarrow S \cup \{v^*\}$

FOR $(v^*, v) \in E$, $v \notin S$:

$d[v] \leftarrow \min \{ d[v], d[v^*] + c(v, v^*) \}$

[$\text{decrease-key}(H, v, d[v])$]

Lecture Notes

```

1  function Dijkstra(Graph, source):
2      dist[source] ← 0                               // Initialization
3
4      create vertex priority queue Q
5
6      for each vertex v in Graph.Vertices:
7          if v ≠ source
8              dist[v] ← INFINITY                     // Unknown distance from source to v
9              prev[v] ← UNDEFINED                   // Predecessor of v
10
11         Q.add_with_priority(v, dist[v])
12
13
14     while Q is not empty:                           // The main loop
15         u ← Q.extract_min()                       // Remove and return best vertex
16         for each neighbor v of u:                 // Go through all v neighbors of u
17             alt ← dist[u] + Graph.Edges(u, v)
18             if alt < dist[v]:
19                 dist[v] ← alt
20                 prev[v] ← u
21             Q.decrease_priority(v, alt)
22
23     return dist, prev

```

Wikipedia

DIJKSTRA(G, w, s)

```

1 INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  $S = \emptyset$ 
3  $Q = \emptyset$ 
4 for each vertex  $u \in G.V$ 
5     INSERT( $Q, u$ )
6 while  $Q \neq \emptyset$ 
7      $u = \text{EXTRACT-MIN}(Q)$ 
8      $S = S \cup \{u\}$ 
9     for each vertex  $v$  in  $G.Adj[u]$ 
10         RELAX( $u, v, w$ )
11         if the call of RELAX decreased  $v.d$ 
12             DECREASE-KEY( $Q, v, v.d$ )

```

Introduction to Algorithms Book

DIJKSTRA($G = (V, E), s$)

```

1 for each  $v \in V \setminus \{s\}$  do
2      $d[v] \leftarrow \infty$ ;  $p[v] \leftarrow \text{null}$ 
3  $d[s] \leftarrow 0$ ;  $p[s] \leftarrow \text{null}$ 
4  $Q \leftarrow \emptyset$ 
5 INSERT( $s, 0, Q$ )
6 while  $Q \neq \emptyset$  do
7      $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8     for each  $(u, v) \in E$  do
9         if  $p[v] = \text{null}$  then
10              $d[v] \leftarrow d[u] + w((u, v))$ 
11              $p[v] \leftarrow u$ 
12             ENQUEUE( $v, d[v], Q$ )
13         else if  $d[u] + w((u, v)) < d[v]$  then
14              $d[v] \leftarrow d[u] + w((u, v))$ 
15              $p[v] \leftarrow u$ 
16             DECREASE-KEY( $v, d[v], Q$ )

```

Lecture Script

What should I learn?

What should I learn for theory?

- Work with the lecture notes and the script, since that's what you are examined on.
- Additional material can often help with understanding in case the before mentioned material is confusing, but you should generally never use things/theorems/runtimes etc. that we didn't cover in lectures.

Dijkstra

Pseudocode

Runtime:

$O((|V| + |E|)\log |V|)$

(see script for proof; or see next slide which I found to be more understandable)

DIJKSTRA($G = (V, E), s$)

1	for each $v \in V \setminus \{s\}$ do	▷ Initialisiere für alle Knoten die
2	$d[v] \leftarrow \infty$; $p[v] \leftarrow \mathbf{null}$	▷ Distanz zu s sowie Vorgänger
3	$d[s] \leftarrow 0$; $p[s] \leftarrow \mathbf{null}$	▷ Initialisierung des Startknotens
4	$Q \leftarrow \emptyset$	▷ Leere Prioritätswarteschlange Q
5	INSERT($s, 0, Q$)	▷ Füge s zu Q hinzu
6	while $Q \neq \emptyset$ do	
7	$u \leftarrow \text{EXTRACT-MIN}(Q)$	▷ Aktueller Knoten
8	for each $(u, v) \in E$ do	▷ Inspiziere Nachfolger
9	if $p[v] = \mathbf{null}$ then	▷ v wurde noch nicht entdeckt
10	$d[v] \leftarrow d[u] + w((u, v))$	▷ Berechne obere Schranke
11	$p[v] \leftarrow u$	▷ Speichere u als Vorgänger von v
12	ENQUEUE($v, d[v], Q$)	▷ Füge v zu Q hinzu
13	else if $d[u] + w((u, v)) < d[v]$ then	▷ Kürzerer Weg zu v entdeckt
14	$d[v] \leftarrow d[u] + w((u, v))$	▷ Aktualisiere obere Schranke
15	$p[v] \leftarrow u$	▷ Speichere u als Vorgänger von v
16	DECREASE-KEY($v, d[v], Q$)	▷ Setze Priorität von v herab

Dijkstra's Runtime Analysis

INITIALIZE-SINGLE-SOURCE(G, s)

```
1 for each vertex  $v \in G.V$ 
2    $v.d = \infty$ 
3    $v.\pi = \text{NIL}$ 
4  $s.d = 0$ 
```

RELAX(u, v, w)

```
1 if  $v.d > u.d + w(u, v)$ 
2    $v.d = u.d + w(u, v)$ 
3    $v.\pi = u$ 
```

DIJKSTRA(G, w, s)

```
1 INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  $S = \emptyset$ 
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4 for each vertex  $u \in G.V$ 
5   INSERT( $Q, u$ )
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9   for each vertex  $v$  in  $G.Adj[u]$ 
10    RELAX( $u, v, w$ )
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12      DECREASE-KEY( $Q, v, v.d$ )
```

with a binary min-heap that includes a way to map between vertices and their corresponding heap elements. Each EXTRACT-MIN operation then takes $O(\lg V)$ time. As before, there are $|V|$ such operations. The time to build the binary min-heap is $O(V)$. (As noted in Section 21.2, you don't even need to call BUILD-MIN-HEAP.) Each DECREASE-KEY operation takes $O(\lg V)$ time, and there are still at most $|E|$ such operations. The total running time is therefore $O((V + E) \lg V)$, which is $O(E \lg V)$ in the typical case that $|E| = \Omega(V)$. This running time improves

Introduction to Algorithms, 22.3 Dijkstra's Algorithm Analysis

Q eingefügt und genau einmal aus Q entfernt wird. Wurde ein Knoten u aus Q entfernt, dann wird er niemals wieder in Q eingefügt, und sein Wert $d[u]$ wird niemals wieder verändert. Der Grund ist, dass für alle später aus Q entfernten Knoten x der Wert $d[x]$ mindestens so gross wie $d[u]$ ist; folglich ist der Vergleich in Schritt 13 niemals erfüllt. Da jeder

Introduction to Algorithms, 22.3 Dijkstra's Algorithm Analysis

Example on Blackboard

DIJKSTRA($G = (V, E), s$)		
1	for each $v \in V \setminus \{s\}$ do	▷ Initialisiere für alle Knoten die
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8	for each $(u, v) \in E$ do	▷ Inspiziere Nachfolger
9	if $p[v] = \text{null}$ then	▷ v wurde noch nicht entdeckt
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12	ENQUEUE($v, d[v], Q$)	▷ Füge v zu Q hinzu
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14	$d[v] \leftarrow d[u] + w((u, v))$	▷ Aktualisiere obere Schranke
15	$p[v] \leftarrow u$	▷ Speichere u als Vorgänger von v
16	DECREASE-KEY($v, d[v], Q$)	▷ Setze Priorität von v herab

What should I learn for CodeExpert?

- The variants differ mostly in the usage of the data structure storing the vertices
 - Normal queue, priority queue, start with all vertices in the queue, only insert the start vertex in the queue at the start, decrease key, re-insert vertices...

Java’s PriorityQueue

OVERVIEWPACKAGECLASSUSE TREEDEPRECATEDINDEXHELP

PREV CLASSNEXT CLASSFRAMESNO FRAMESEALL CLASSES

SUMMARY: NESTED | FIELD | CONSTR | METHODDETAIL: FIELD | CONSTR | METHOD

compact1, compact2, compact3

java.util

Class PriorityQueue<E>

java.lang.Object
 java.util.AbstractCollection<E>
 java.util.AbstractQueue<E>
 java.util.PriorityQueue<E>

Type Parameters:
E - the type of elements held in this collection

All Implemented Interfaces:
Serializable, Iterable<E>, Collection<E>, Queue<E>

All Methods	Instance Methods	Concrete Methods
Modifier and Type		Method and Description
boolean		add(E e) Inserts the specified element into the queue.
void		clear() Removes all of the elements from the queue.
Comparator <? super E>		comparator() Returns the comparator used to order elements, if any.
boolean		contains(Object o) Returns true if this queue contains the specified element.
Iterator <E>		iterator() Returns an iterator over the elements in the queue.
boolean		offer(E e) Inserts the specified element into the queue.
E		peek() Retrieves, but does not remove, the head of the queue.
E		poll() Retrieves and removes the head of the queue.
boolean		remove(Object o) Removes a single instance of the specified element from this queue.
int		size() Returns the number of elements in the queue.
Spliterator <E>		spliterator() Creates a <i>late-binding</i> and <i>fail-fast</i> Spliterator over the elements in the queue.
Object []		toArray() Returns an array containing all of the elements in the queue.
<T> T[]		toArray(T[] a) Returns an array containing all of the elements in the queue.

No decrease key method? 🤔

Re-Insertion instead decrease key

- Instead of decreasing the key, we could also just reinsert the node again (allowing for the same node appearing multiple times in the priority queue) but with the decreased priority.
- Questions that naturally arise are: How does this re-insertion affect the runtime? How does it change the pseudocode and concrete implementation?

Re-insertion runtime analysis (informally)

- We add nodes when we reach them through an edge (inner for loop). Thus we have at most $|E|$ insert operations.
- The while loop breaks only if the queue is empty. Thus we also have $|E|$ dequeue operations (extract and delete from queue).
- Denoting the time it takes for an insert operation with T_i and the time it takes for an dequeue operation with T_d we get $O(|E| \cdot T_i + |E| \cdot T_d)$.
- If n is the size of a heap, we already learned that both dequeue and insert is in $O(\log n)$ (recall of repair heap).
- Now what is the size of the heap? Since we have at most $|E|$ insert operations that is also our size.

Re-insertion runtime analysis (informally)

- Both T_i and T_d are in $O(\log |E|)$, thus $O(|E| \cdot T_i + |E| \cdot T_d) = O(|E| \cdot \log |E|)$
- Notice that for simple connected graphs $O(\log |E|) = O(\log |V|)$ since $|V| - 1 \leq |E| \leq \binom{|V|}{2} \leq |V|^2$
- Therefore we have $O(|E| \cdot \log |E|) = O(|E| \cdot \log |V|)$ for simple connected graphs

Re-insertion vs. decrease key runtime

- The re-insertion version has actually been found to achieve faster computing times in practice, which this paper shows:

Priority Queues and Dijkstra's Algorithm *

Mo Chen [†] Rezaul Alam Chowdhury [‡] Vijaya Ramachandran [§]

David Lan Roche [¶] Lingling Tong ^{||}

UTCS Technical Report TR-07-54

October 12, 2007

1.2 Summary of Experimental Results

Briefly here are the conclusions of our experimental study:

- During in-core computations involving real-weighted sparse graphs such as undirected $\mathcal{G}_{n,m}$, road networks, and directed power-law, implementations based on DIJKSTRA-NODEC ran faster (often significantly) than DIJKSTRA-DEC implementations. However, this performance gap narrowed as the graphs became denser.

<https://www3.cs.stonybrook.edu/~rezaul/papers/TR-07-54.pdf>

Java Implementation (from my github repo)

```
public int[] dijkstra(ArrayList<ArrayList<Edge>> G, int n, int start) {
    int[] P = new int[n]; // store predecessors of nodes
    int[] D = new int[n]; // store distance from start to nodes

    for (int i = 0; i < n; ++i) D[i] = Integer.MAX_VALUE;
    D[start] = 0;

    PriorityQueue<Node> PQ = new PriorityQueue<>();
    PQ.add(new Node(start, 0));

    while (!PQ.isEmpty()) {
        Node u = PQ.poll();

        if (D[u.key] < u.dist) continue; // already found shorter distance

        for (Edge edge : G.get(u.key)) {
            int v = edge.to;
            int d = D[u.key] + edge.weight;

            if (d < D[v]) {
                D[v] = d;
                P[v] = u.key;
                PQ.add(new Node(v, D[v]));
            }
        }
    }

    return D;
}
```