Ecole polytechnique fédérale de Zurich Politecnico federale di Zurigo Federal Institute of Technology at Zurich

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Algorithms & Data Structures

Exercise sheet 0

HS 23

The solutions for this sheet do not have to be submitted. The sheet will be solved in the first exercise session on 25.09.2023.

Exercises that are marked by * are challenge exercises.

Exercise 0.1 *Induction.*

a) Prove by mathematical induction that for any positive integer n,

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$
.

b) (This subtask is from August 2019 exam). Let $T: \mathbb{N} \to \mathbb{R}$ be a function that satisfies the following two conditions:

$$T(n) \ge 4 \cdot T(\frac{n}{2}) + 3n$$
 whenever n is divisible by 2; $T(1) = 4$.

Prove by mathematical induction that

$$T(n) > 6n^2 - 2n$$

holds whenever n is a power of 2, i.e., $n = 2^k$ with $k \in \mathbb{N}_0$.

Asymptotic Growth

When we estimate the number of elementary operations executed by algorithms, it is often useful to ignore smaller order terms, and instead focus on the asymptotic growth defined below. We denote by \mathbb{R}^+ the set of all (strictly) positive real numbers and by \mathbb{R}^+_0 the set of nonnegative real numbers.

Definition 1. Let $f, g : \mathbb{N} \to \mathbb{R}^+$ be two functions. We say that f grows asymptotically faster than g if $\lim_{n \to \infty} \frac{g(n)}{f(n)} = 0$.

This definition is also valid for functions defined on \mathbb{R}^+ instead of \mathbb{N} . In general, $\lim_{n\to\infty}\frac{g(n)}{f(n)}$ is the same as $\lim_{x\to\infty}\frac{g(x)}{f(x)}$ if the second limit exists.

Exercise 0.2 Comparison of functions part 1.

Show that

a) $f(n) := n \log n$ grows asymptotically faster than g(n) := n.

- b) $f(n) := n^3$ grows asymptotically faster than $g(n) := 10n^2 + 100n + 1000$.
- c) $f(n) := 3^n$ grows asymptotically faster than $g(n) := 2^n$.

The following theorem can be useful to compute some limits.

Theorem 1 (L'Hôpital's rule). Assume that functions $f: \mathbb{R}^+ \to \mathbb{R}^+$ and $g: \mathbb{R}^+ \to \mathbb{R}^+$ are differentiable, $\lim_{x \to \infty} f(x) = \lim_{x \to \infty} g(x) = \infty$ and for all $x \in \mathbb{R}^+$, $g'(x) \neq 0$. If $\lim_{x \to \infty} \frac{f'(x)}{g'(x)} = C \in \mathbb{R}^+_0$ or $\lim_{x \to \infty} \frac{f'(x)}{g'(x)} = \infty$, then

$$\lim_{x \to \infty} \frac{f(x)}{g(x)} = \lim_{x \to \infty} \frac{f'(x)}{g'(x)}.$$

Exercise 0.3 Comparison of functions part 2.

Show that

- a) $f(n) := n^{1.01}$ grows asymptotically faster than $g(n) := n \ln n$.
- b) $f(n) := e^n$ grows asymptotically faster than g(n) := n.
- c) $f(n) := e^n$ grows asymptotically faster than $g(n) := n^2$.
- d)* $f(n) := 1.01^n$ grows asymptotically faster than $g(n) := n^{100}$.
- e)* $f(n) := \log_2 n$ grows asymptotically faster than $g(n) := \log_2 \log_2 n$.
- f)* $f(n) := 2^{\sqrt{\log_2 n}}$ grows asymptotically faster than $g(n) := \log_2^{100} n$.
- g)* $f(n) := n^{0.01}$ grows asymptotically faster than $g(n) := 2^{\sqrt{\log_2 n}}$.

Exercise 0.4 Simplifying expressions.

Simplify the following expressions as much as possible without changing their asymptotic growth rates.

Concretely, for each expression f(n) in the following list, find an expression g(n) that is as simple as possible and that satisfies $\lim_{n\to\infty}\frac{f(n)}{g(n)}\in\mathbb{R}^+$.

It is guaranteed that all functions in this exercise take values in \mathbb{R}^+ (you don't have to prove it).

a)
$$f(n) := 5n^3 + 40n^2 + 100$$

b)
$$f(n) := 5n + \ln n + 2n^3 + \frac{1}{n}$$

c)
$$f(n) := n \ln n - 2n + 3n^2$$

d)
$$f(n) := 23n + 4n \log_5 n^6 + 78\sqrt{n} - 9$$

e)
$$f(n) := \log_2 \sqrt{n^5} + \sqrt{\log_2 n^5}$$

f)*
$$f(n) := 2n^3 + (\sqrt[4]{n})^{\log_5 \log_6 n} + (\sqrt[7]{n})^{\log_8 \log_9 n}$$

Exercise 0.5* *Finding the range of your bow.*

To celebrate your start at ETH, your parents gifted you a bow and (an infinite number of) arrows. You would like to determine the range of your bow, in other words how far you can shoot arrows with it. For simplicity we assume that all your arrow shots will cover exactly the same distance r, and we define r as the range of your bow. You also know that this range is at least $r \ge 1$ (meter).

You have at your disposition a ruler and a wall. You cannot directly measure the distance covered by an arrow shot (because the arrow slides some more distance on the ground after reaching distance r), so the only way you can get information about the range r is as follows. You can stand at a distance ℓ (of your choice) from the wall and shoot an arrow: if the arrow reaches the wall, you know that $\ell \leq r$, and otherwise you deduce that $\ell > r$. By performing such an experiment with various choices of the distance ℓ , you will be able to determine r with more and more accuracy. Your goal is to do so with as few arrow shots as possible.

- a) What is a fast strategy to find an upper bound on the range r? In other words, how can you find a distance $D \geq 1$ such that r < D, using few arrow shots? The required number of shots might depend on the actual range r, so we will denote it by f(r). Good solutions should have $f(r) \leq 10 \log_2 r$ for large values of r.
- b) You are now interested in determining r up to some additive error. More precisely, you should find an estimate \tilde{r} such that the range is contained in the interval $[\tilde{r}-1,\tilde{r}+1]$, i.e. $\tilde{r}-1 \leq r \leq \tilde{r}+1$. Denoting by g(r) the number of shots required by your strategy, your goal is to find a strategy with $g(r) \leq 10 \log_2 r$ for all r sufficiently large.
- c) Coming back to part (a), is it possible to have a significantly faster strategy (for example with $f(r) \le 10 \log_2 \log_2 r$ for large values of r)?

a) Prove by mathematical induction that for any positive integer n,

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$
.

What is induction?

"show for all numbers?"

- (1) We show 1

 (2) Then pattern

 pattern

 pattern

a) Prove by mathematical induction that for any positive integer n,

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$
.

Induction base: n=1

$$1 = \frac{1(2)}{2}.$$

Induction hypothesis: Assume & holds for some positive integer

(that is
$$1+2+...+k = \frac{k(k+1)}{2}$$
)

Induction Step: L ~ W +1

$$1+2+\ldots+k+(k+1)=\frac{k(k+1)}{2}+(k+1)$$

$$= \frac{h(h+1) + 2(h+1)}{2}$$

Tip #1: sometimes it helps to look at

"the other side": $\frac{(h+1)(h+2)}{2} = \frac{h^2 + 3h + 2}{2} \dots$

Coming bach: $\frac{h(h+1) + 2(h+1)}{2} = \frac{h^2 + 3h + 2h}{2}$

By the principle of mathematical induction, this is true for any positive integer n.

Tip #2: expressions like 1+2+...+n can be confusing if a little more complex. What I like to do: $\sum_{i=1}^{n} i = \frac{n(n+1)}{2}$

b) (This subtask is from August 2019 exam). Let $T: \mathbb{N} \to \mathbb{R}$ be a function that satisfies the following two conditions:

$$T(n) \ge 4 \cdot T(\frac{n}{2}) + 3n$$
 whenever n is divisible by 2; $T(1) = 4$.

Prove by mathematical induction that

holds whenever n is a power of 2, i.e., $n=2^k$ with $k\in\mathbb{N}_0.$

Tip #3: read description carefully. Know what to use, what to prove, chat to essume etc. "Toolset laid out on table" analogy.

Land n? two variables?

Induction by n.

16:
$$k=0 = n = 2^{\circ} = 1$$

$$T(1) = 4 > 6.1 - 2$$
.

"that property

IH: Assume & holds for some positive integer $m = 2^h$

$$\frac{|S:}{k} \times k + 1 = > 2^{k} \times 2^{k+1} = 2.2^{k}$$

$$T(2^{k+1}) \geqslant 4 \cdot T(2^k) + 3 \cdot 2^{k+1}$$

complicated,

Instead we use on. If $n = 2^{h}$, then for k+1 we have $2m = 2^{h+1}$.

1S: $m \sim 2m$ $T(2m) \gg 4 T(m) + 3.2 \cdot m$ 1.H. $\gg 24m^{2} - 8m + 6m$ $= 24m^{2} - 2m$ $\approx 24m^{2} - 4m$ $= 6 \cdot (2m)^{2} - 2 \cdot (2m)$

Tip #4: Substitute variables if necessary! less error prone.

Asymptotic Growth

When we estimate the number of elementary operations executed by algorithms, it is often useful to ignore smaller order terms, and instead focus on the asymptotic growth defined below. We denote by \mathbb{R}^+ the set of all (strictly) positive real numbers and by \mathbb{R}^+_0 the set of nonnegative real numbers.

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Exercise 0.2 Comparison of functions part 1.

Show that

1ρ 3.

a) $f(n) := n \log n$ grows asymptotically faster than g(n) := n.

$$\lim_{n \to \infty} \frac{1}{n \log_n} = \lim_{n \to \infty} \frac{1}{\log_n} = 0$$

b) $f(n) := n^3$ grows asymptotically faster than $g(n) := 10n^2 + 100n + 1000$.

$$\lim_{n \to \infty} \frac{10n^2 + 100n + 100}{n^3} = \lim_{n \to \infty} \left(\frac{10}{n} + \frac{100}{n^2} + \frac{100}{n^3} \right)$$

limit roles

$$\frac{100}{n}$$
 $\frac{100}{n^2}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$
 $\frac{100}{n^3}$

c) $f(n) := 3^n$ grows asymptotically faster than $g(n) := 2^n$.

 $\lim_{n\to\infty}\frac{2^n}{3^n}=7$

what happens if we halve concling an infinite number of times?

Theorem 1 (L'Hôpital's rule). Assume that functions $f: \mathbb{R}^+ \to \mathbb{R}^+$ and $g: \mathbb{R}^+ \to \mathbb{R}^+$ are differentiable, $\lim_{x\to\infty} f(x) = \lim_{x\to\infty} g(x) = \infty \text{ and for all } x\in\mathbb{R}^+, g'(x)\neq 0. \text{ If } \lim_{x\to\infty} \frac{f'(x)}{g'(x)} = C\in\mathbb{R}^+_0 \text{ or } \lim_{x\to\infty} \frac{f'(x)}{g'(x)} = \infty,$ then $\lim_{x \to \infty} \frac{f(x)}{g(x)} = \lim_{x \to \infty} \frac{f'(x)}{g'(x)}.$ Revise deviv rules! Comparison of functions part 2. - loge = ln. Show that a) $f(n) := n^{1.01}$ grows asymptotically faster than $g(n) := n \ln n$. $\lim_{n\to\infty} \frac{n\ln n}{n \cdot n \cdot n} = \lim_{n\to\infty} \frac{\ln n}{n \cdot n \cdot n} = \lim_{n\to\infty} \frac{\ln n}{n \cdot n \cdot n} = \lim_{n\to\infty} \frac{\ln n}{n \cdot n} = \lim_{n\to\infty} \frac{\ln n}{n \cdot n} = \lim_{n\to\infty} \frac{\ln n}{n} = \lim_{n\to\infty} \frac{\ln$ Tip #5: simplify! don't blindly apply rules /theorems $\lim_{n\to\infty} \frac{n \ln n}{n^{1.01}} = \lim_{n\to\infty} \frac{\ln n}{n^{0.01}} =$ $\lim_{x\to\infty} \frac{\ln x}{\ln x} = \lim_{x\to\infty} \frac{\frac{1}{x}}{0.01 \times -0.99} = \lim_{x\to\infty} \frac{1}{0.01 \times 0.01} = 0.$ $\lim_{x\to\infty} \frac{\ln x}{0.01 \times -0.99} = \lim_{x\to\infty} \frac{1}{0.01 \times 0.01} = 0.$ $\lim_{x\to\infty} \frac{\ln x}{0.01 \times -0.99} = \lim_{x\to\infty} \frac{1}{0.01 \times 0.01} = 0.$ $\lim_{x\to\infty} \frac{\ln x}{0.01 \times -0.99} = \lim_{x\to\infty} \frac{1}{0.01 \times 0.01} = 0.$

IN & IR.

b) $f(n) := e^n$ grows asymptotically faster than g(n) := n.

$$\lim_{x\to\infty}\frac{x}{e^x}=\lim_{x\to\infty}\frac{1}{e^x}=0.$$

c) $f(n) := e^n$ grows asymptotically faster than $g(n) := n^2$.

$$\lim_{x\to\infty} \frac{x^2}{e^x} = \lim_{n\to\infty} \frac{2x}{e^x} = \lim_{n\to\infty} \frac{2x}{e^x} = 0.$$

$$\lim_{x\to\infty} \frac{2x}{e^x} = 2x = 0.$$

of andependent of x

d)* $f(n) := 1.01^n$ grows asymptotically faster than $g(n) := n^{100}$.

$$\lim_{x \to \infty} \frac{\frac{100}{1.01}}{1.01} = ? \qquad \text{hase, } n \text{ exponent?}$$

$$\frac{e^{\ln - \tau_{rich}}}{=} \times \frac{100}{100} = \frac{\ln x^{100}}{100} \times \frac{\ln x^{100}}{100} = \frac{\ln x^{100}}{100} \times \frac{\ln x^{100}}{100} = \frac{\ln x$$

$$= \frac{2}{9}$$
Suide
$$= \frac{2}{9}$$

$$= \frac{100 \text{ ln} \times 2}{9}$$

$$= \frac{2}{9}$$
Revise!
$$= \frac{2}{9}$$

$$=$$

Revise

$$\frac{100 \text{ ln} \times 7}{200 \text{ ln} \times 100} = \frac{100 \text{ ln} \times - \times \text{ ln} \times 1.01}{200 \text{ ln} \times - \times \text{ ln} \times 1.01}$$
 $\frac{100 \text{ ln} \times 7}{200 \text{ ln} \times - \times \text{ ln} \times 1.01} = \frac{100 \text{ ln} \times - \times \text{ ln} \times 1.01}{200 \text{ ln} \times - \times 0}$

cre look at exponent! lin rules $== 100 \text{ ln} \times - \times \text{ln} \quad 1.01$ lum 100 ln x - x ln 1.01 lim 100 lux - × lu 1.01 $= lin \times (100 \frac{lu \times}{\times} - ln 1.01)$ should show that exp always faster

 $\frac{-\infty}{e} = 0, \quad \text{thus} \quad \frac{x^{100}}{(1.01)^k} = 0.$

then power.

e)*
$$f(n) := \log_2 n$$
 grows asymptotically faster than $g(n) := \log_2 \log_2 n$. Chain rule

Lin $\log_2 \log_2 \times \log_2 \otimes \log_2 \otimes$

$$f)^* f(n) := 2\sqrt{\log_2 n} \text{ grows asymptotically faster than } g(n) := \log_2^{100} n. \qquad = \left(\log_2 n\right)^{100}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} = \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}}$$

$$\lim_{x \to \infty} \frac{\log_2 x}{\sqrt{\log_2 x}} \times \lim_{x \to \infty} \frac{\log_2 x}$$

ve look at the exponent:

$$\lim_{n \to \infty} \left(100 \log_2 \log_2 n - \sqrt{\log_2 n} \right) = \lim_{n \to \infty} \left(-\sqrt{\log_2 n} \left(1 - 100 \frac{\log_2 \log_2 n}{\sqrt{\log_2 n}} \right) \right) = -\infty.$$

log
$$z = \frac{\ln x}{\ln 2}$$

Thus
$$\log_2 x = \frac{\ln x}{\ln 2}$$

$$\log_2 x = \frac{\ln x}{\ln 2}$$

$$\ln \left(\frac{\ln x}{\ln 2}\right)$$

$$\ln \left(\frac{2}{2}\right)$$

$$\frac{d}{dx} \frac{\ln \left(\frac{\ln x}{\ln 2}\right)}{\ln 2} = \frac{1}{\ln 2} \left(\frac{d}{dx} \ln \left(\frac{\ln x}{\ln 2}\right)\right)$$

$$=\frac{1}{\ln 2}\left(\frac{\ln 2}{\ln x} \cdot \frac{1}{\ln 2x}\right) = \frac{1}{\ln(2) \times \ln x}$$

$$\sqrt{\log_{1} x} = \sqrt{\frac{\ln x}{\ln 1}}, \quad \frac{d}{dx} \sqrt{\frac{\ln x}{\ln 2}} = \frac{1}{2\sqrt{\frac{\ln x}{\ln 2}}} \frac{1}{\ln 2}$$

$$= \frac{1}{2\sqrt{\ln x}}$$

$$=\lim_{x\to\infty}\frac{2}{\int_{\mathbb{R}^n}(1)\int_{\mathbb{R}^n}(1)}=\frac{2}{\int_{\mathbb{R}^n}(1)\int_{\mathbb{R}^n}(1)\int_{\mathbb{R}^n}(1)}=0$$

g)* $f(n) := n^{0.01}$ grows asymptotically faster than $g(n) := 2^{\sqrt{\log_2 n}}$.

$$\lim_{x \to \infty} \frac{2^{-\lceil \log_{1} x \rceil}}{\sum_{0.01}^{0.01}} = \lim_{x \to \infty} \frac{2^{-\lceil \log_{1} x \rceil}}{2^{0.01} \log_{2} x}$$

we look at the exponent:

$$= \lim_{x \to \infty} 0.01 \log_{1} \times \left(\frac{-\log_{1} \times}{0.01 \log_{1} \times} - 1 \right)$$

$$= \frac{1}{0.01 \sqrt{Raji}} \times \frac{20}{0}$$

Thus

$$\lim_{x \to \infty} \frac{2^{\sqrt{\log_{1} x}}}{0.01} = \lim_{x \to \infty} 2^{\sqrt{\log_{1} x}} - 0.01 \log_{1} x$$

Exercise 0.4 Simplifying expressions.

Simplify the following expressions as much as possible without changing their asymptotic growth rates.

Concretely, for each expression f(n) in the following list, find an expression g(n) that is as simple as possible and that satisfies $\lim_{n\to\infty} \frac{f(n)}{g(n)} \in \mathbb{R}^+$.

It is guaranteed that all functions in this exercise take values in \mathbb{R}^+ (you don't have to prove it).

a)
$$f(n) := 5n^3 + 40n^2 + 100$$

$$g(x) = x^{2}$$
 ...

b)
$$f(n) := 5n + \ln n + 2n^3 + \frac{1}{n}$$

$$\langle \omega \rangle = \omega^2$$

c)
$$f(n) := n \ln n - 2n + 3n^2$$

d)
$$f(n) := 23n + 4n \log_5 n^6 + 78\sqrt{n} - 9$$

$$=\frac{24}{\ln 5} n \ln n$$

$$g(n) = n \ln n$$

$$C$$

$$=$$
 $\frac{24}{\ln S}$.

f)*
$$f(n) := 2n^3 + (\sqrt{n})^{\log_5 \log_6 n} + (\sqrt{n})^{\log_5 \log_9 n}$$

($\sqrt[4]{n}$) lass lase or $\sqrt[4]{n}$ last $\sqrt[4]{n}$ lass lase or $\sqrt[4]{n}$ last last $\sqrt[4]{n}$ last $\sqrt[4]{n}$

Moreover, we also have

$$\lim_{n \to \infty} \frac{2n^3}{\left(\sqrt[4]{n}\right)^{\log_5 \log_6 n}} = 2\lim_{n \to \infty} n^{3 - \frac{1}{4} \log_5 \log_6 n} = 0.$$

Let $g(n) := n^{\frac{1}{4}\log_5\log_6 n}.$ Then indeed we have

$$\lim_{n\to\infty}\frac{f(n)}{g(n)}=1\in\mathbb{R}^+.$$

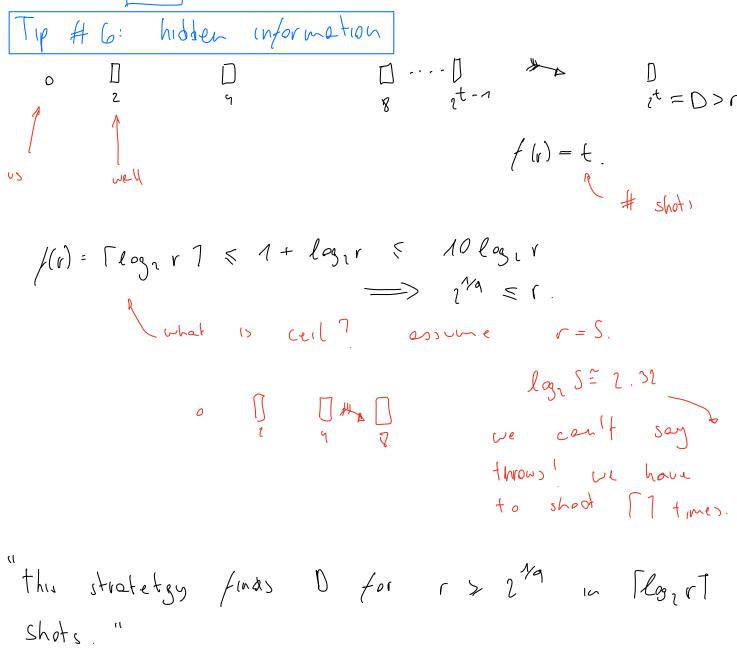
Exercise 0.5* Finding the range of your bow.

R perfect

To celebrate your start at ETH, your parents gifted you a bow and (an infinite number of) arrows. You would like to determine the range of your bow, in other words how far you can shoot arrows with it. For simplicity we assume that all your arrow shots will cover exactly the same distance r, and we define r as the range of your bow. You also know that this range is at least $r \ge 1$ (meter).

You have at your disposition a ruler and a wall. You cannot directly measure the distance covered by an arrow shot (because the arrow slides some more distance on the ground after reaching distance r), so the only way you can get information about the range r is as follows. You can stand at a distance ℓ (of your choice) from the wall and shoot an arrow: if the arrow reaches the wall, you know that $\ell \leq r$, and otherwise you deduce that $\ell > r$. By performing such an experiment with various choices of the distance ℓ , you will be able to determine r with more and more accuracy. Your goal is to do so with as few arrow shots as possible.

a) What is a fast strategy to find an upper bound on the range r? In other words, how can you find a distance $D \ge 1$ such that r < D, using few arrow shots? The required number of shots might depend on the actual range r, so we will denote it by f(r). Good solutions should have $f(r) \le 10 \log_2 r$ for large values of r.



b) You are now interested in determining r up to some additive error. More precisely, you should find an estimate \tilde{r} such that the range is contained in the interval $[\tilde{r}-1,\tilde{r}+1]$, i.e. $\tilde{r}-1\leq r\leq \tilde{r}+1$. Denoting by g(r) the number of shots required by your strategy, your goal is to find a strategy with $g(r)\leq 10\log_2 r$ for all r sufficiently large.

=>
$$re(D/2,D)$$
 in $Mr) = \lceil log_2 r \rceil$ shots.
Now we position airselves in the middle:

$$\frac{1}{2^{t-1}} = \frac{0}{2}$$

$$= \frac{0}{2}$$

$$\frac{(1/2+0)}{2} = \frac{3}{40}$$

$$\frac{1}{2} = \frac{3}{40}$$

vow we shoot from the middle and see if reaches the wall:

- Yes, $r \in (\frac{3}{4}0,0)$ what if $r \in 0-\frac{3}{4}0$ - No, $r \in (\frac{1}{4}0,\frac{3}{4}0)$

repeat untile
$$(a,b)$$
 sum that $b-a \in 2$
then $r = (a+b)/2$.

shots?

- $f(r) = \lceil \log_{1} \sqrt{1} \quad from \quad finding D$ - habing intervals with $(\frac{1}{2}0.0) \rightarrow \frac{0}{8}$ We start with $(\frac{1}{2}0.0) \rightarrow \frac{0}{8}$ $\frac{0}{2}$ $\frac{0}$ $\frac{0}{2}$ $\frac{0}{2}$

(Le Slesp c.)